BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

BARBARA FRANCES DUCEY

425 San Antonio Way Sacramento, CA 95819

Registered Nurse License No. 566763 Nurse Anesthetist Certification No. 2631

Respondent

Case No. 2009-35

OAH No. 2009080968

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as its Decision in the above entitled matter.

This Decision shall become effective on April 12, 2010.

IT IS SO ORDERED March 9, 2010.

President

Board of Registered Nursing

Department of Consumer Affairs

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State of California .

1	Edmund G. Brown Jr.			
2	Attorney General of California ARTHUR D. TAGGART			
3	Supervising Deputy Attorney General JEFFREY M. PHILLIPS			
	Deputy Attorney General			
4	State Bar No. 154990 1300 I Street, Suite 125			
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7	Attorneys for Complainant	~1		
8	BEFORE THE			
9	BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS			
10	STATE OF C	CALIFORNIA		
11	In the Matter of the Accusation Against:	BRN Case No. 2009-35		
12	BARBARA FRANCES DUCEY	OAH No. 2009080968		
13	425 San Antonio Way			
14	Sacramento, CA 95819	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER		
15	Registered Nurse License No. 566763 Nurse Anesthetist Certification No. 2631			
-	Respondent.			
16				
17	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-			
18	entitled proceedings that the following matters are true:			
19	<u>PARTIES</u>			
20	1. Louise R. Bailey, M.Ed., RN (Complainant) is the Interim Executive Officer of the			
21	Board of Registered Nursing (Board). This action was brought by the prior Executive Officer of			
22	the Board, which action is maintained solely in their official capacities and is represented in this			
23	matter by Edmund G. Brown Jr., Attorney General of the State of California, by Jeffrey M.			
24	Phillips, Deputy Attorney General.			
25	2. Respondent Barbara Frances Ducey	is representing herself in this proceeding and has		
26	chosen not to exercise her right to be represented by counsel.			
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- 3. On or about May 9, 2000, the Board of Registered Nursing issued Registered Nurse License No. 566763 to Barbara Frances Ducey (Respondent). The license will expire on July 31, 2011, unless renewed.
- 4. On or about May 10, 2000, the Board of Registered Nursing issued Nurse Anesthetist Certification No. 2631 to Barbara Frances Ducey (Respondent). The certification will expire on July 31, 2011, unless renewed.

JURISDICTION

5. Accusation No. 2009-35 was filed before the Board of Registered Nursing (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 25, 2008. Respondent timely filed her Notice of Defense contesting the Accusation. A copy of Accusation No. 2009-35 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- Respondent has carefully read, and understands the charges and allegations in
 Accusation No. 2009-35. Respondent has also carefully read, and understands the effects of this
 Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

9. Respondent admits the truth of each and every charge and allegation in Accusation No. 2009-35, except as to page 3, line 12 and line 19, which Respondent denies.

10. Respondent agrees that her Registered Nurse License is subject to discipline and she agrees to be bound by the Board of Registered Nursing (Board)'s imposition of discipline as set forth in the Disciplinary Order below.

RESERVATION

11. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board of Registered Nursing or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

- 12. This stipulation shall be subject to approval by the Board of Registered Nursing. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Registered Nursing may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Registered Nurse License No. 566763 and Nurse Anesthetist Certification No. 2631 issued to Respondent Barbara Frances Ducey is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

1. **Obey All Laws.** Respondent shall obey all federal, state and local laws. A full and detailed account of any and all violations of law shall be reported by Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, Respondent shall submit completed fingerprint forms and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

Criminal Court Orders: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Board's Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license

status with the Board, including during any period of suspension.

Upon successful completion of probation, Respondent's license shall be fully restored.

- 3. **Report in Person.** Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- 4. Residency, Practice, or Licensure Outside of State. Periods of residency or practice as a registered nurse outside of California shall not apply toward a reduction of this probation time period. Respondent's probation is tolled, if and when she resides outside of California. Respondent must provide written notice to the Board within 15 days of any change of residency or practice outside the state, and within 30 days prior to re-establishing residency or returning to practice in this state.

Respondent shall provide a list of all states and territories where she has ever been licensed as a registered nurse, vocational nurse, or practical nurse. Respondent shall further provide information regarding the status of each license and any changes in such license status during the term of probation. Respondent shall inform the Board if she applies for or obtains a new nursing license during the term of probation.

5. Submit Written Reports. Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

Respondent shall provide a copy of this Decision to the nursing regulatory agency in every state and territory in which she has a registered nurse license.

6. Function as a Registered Nurse. Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

For purposes of compliance with the section, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any

non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation shall apply.

7. Employment Approval and Reporting Requirements. Respondent shall obtain prior approval from the Board before commencing or continuing any employment, paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board all performance evaluations and other employment related reports as a registered nurse upon request of the Board.

Respondent shall provide a copy of this Decision to her employer and immediate supervisors prior to commencement of any nursing or other health care related employment.

In addition to the above, Respondent shall notify the Board in writing within seventy-two (72) hours after she obtains any nursing or other health care related employment. Respondent shall notify the Board in writing within seventy-two (72) hours after she is terminated or separated, regardless of cause, from any nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination or separation.

8. **Supervision.** Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing or continuing any employment as a registered nurse, or education and training that includes patient care.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board of Registered Nursing, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are

approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

- (a) Maximum The individual providing supervision and/or collaboration is present in the patient care area or in any other work setting at all times.
- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.
- (c) Minimum The individual providing supervision and/or collaboration has person-toperson communication with Respondent at least twice during each shift worked.
- (d) Home Health Care If Respondent is approved to work in the home health care setting, the individual providing supervision and/or collaboration shall have person-to-person communication with Respondent as required by the Board each work day. Respondent shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual providing supervision and/or collaboration shall conduct, as required by the Board, periodic, on-site visits to patients' homes visited by Respondent with or without Respondent present.
- 9. **Employment Limitations.** Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, a traveling nurse, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor of registered nurses.

The Board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

10. Complete a Nursing Course(s). Respondent, at her own expense, shall enroll and successfully complete a course(s) relevant to the practice of registered nursing no later than six months prior to the end of her probationary term.

Respondent shall obtain prior approval from the Board before enrolling in the course(s). Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

11. **Cost Recovery.** Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of \$8,505.00. Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation will apply.

12. **Violation of Probation.** If Respondent violates the conditions of her probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation/suspension) of Respondent's license.

If during the period of probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Registered Nursing of the Department of Consumer Affairs.

Dated: 1 14 2010

Respectfully Submitted,

EDMUND G. BROWN JR.
Attorney General of California
ARTHUR D. TAGGART

Supervising Deputy Attorney General

JEFFRIY M. PHILLIPS Deputy-Attorney General Attorneys for Complainant

SA2006103043 Stipulation.rtf

11,

Exhibit A

Accusation No. 2009-35

1	EDMUND G. BROWN JR., Attorney General	•			
2	of the State of California ARTHUR D. TAGGART				
3	Supervising Deputy Attorney General JEFFREY M. PHILLIPS, State Bar No. 154990				
١	Deputy Attorney General				
4	California Department of Justice 1300 l Street, Suite 125	·			
5	P.O. Box 944255				
6	Sacramento, CA 94244-2550 Telephone: (916) 324-6292				
١	Facsimile: (916) 327-8643				
7	Attorneys for Complainant				
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9	BEFORE THE				
	BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS				
10	STATE OF CALIFO	DRNIA			
11		251			
12	In the Matter of the Accusation Against:	Case No. 2009-35			
13.	BARBARA FRANCES DUCEY	ACCUSATION			
14	425 San Antonio Way Sacramento, California 95819				
15	Registered Nurse License No. 566763 Nurse Anesthetist Certification No. 2631	\ \ \cdot \.			
16	Respondent.				
17	, cosponada.				
18	Ruth Ann Terry, M.P.H., R.N. ("Complainant") alleges:				
19	<u>PARTIES</u>				
	1. Complainant brings this Accusation solely in her official capacity as the				
20					
21	Executive Officer of the Board of Registered Nursing ("Board"), Department of Consumer				
22	Affairs.	•			
23	Registered Nurse License				
24	2. On or about May 9, 2000, the Board issued Registered Nurse License				
2:	Number 566763 to Barbara Frances Ducey ("Respondent"). The license will expire on				
2	July 31, 2009, unless renewed.				
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1.

Nurse Anesthetist Certification

On or about May 10, 2000, the Board issued Nurse Anesthetist Certification Number 2631 to Respondent. The license will expire on July 31, 2009, unless renewed.

STATUTORY PROVISIONS

- Business and Professions Code ("Code") section 2750 provides, in 4. pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
 - Code section 2761 states, in part:

"The board may take disciplinary action against a certified or licensed nurse or deny an application for a certificate or license for any of the following:

- (a) Unprofessional conduct, which includes, but is not limited to, the following:
- (1) Incompetence, or gross negligence in carrying out usual certified or licensed nursing functions."

REGULATORY PROVISIONS

California Code of Regulations, title 16, section 1442, states: б.

"As used in Section 2761 of the code, 'gross negligence' includes an extreme departure from the standard of care which, under similar circumstances, would have ordinarily been exercised by a competent registered nurse. Such an extreme departure means the repeated failure to provide nursing care as required or failure to provide care or to exercise ordinary precaution in a single situation which the nurse knew, or should have known, could have jeopardized the client's health or life."

California Code of Regulations, title 16, section 1443, states:

"As used in Section 2761 of the code, 'incompetence' means the lack of possession of or the failure to exercise that degree of learning, skill, care and experience ordinarily possessed and exercised by a competent registered nurse as described in Section 1443.5."

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8. Code section 125.3 provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

BACKGROUND INFORMATION

9. On or about July 17, 2000, Respondent was an employee of Greenfield Medical Group. Respondent's duties included providing anesthesia services to a variety of patients at Hanford Central Valley Hospital. While Respondent was providing anesthesia services to a 40-year-old pregnant patient who was preparing to give birth by cesarian section at Central Valley General Hospital, she failed to properly manage the airway of the patient resulting in aspiration, pneumonia, cardiac arrest, and death.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence)

- 10. Respondent is subject to discipline under Code section 2761(a)(1), on the grounds of unprofessional conduct, in that on or about July 17, 2000, while providing anesthesia services to a 40-year-old patient at Central Valley General Hospital, Respondent was guilty of gross negligence in the following respects:
 - a. Respondent failed to properly assess the patient's airway.
- b. Respondent failed to elicit the proper steps in securing the patient's airway and following the standard of care in an obstetric patient with failed intubation.
- c. Respondent failed to elicit the technique of rapid sequence induction with cricoid pressure to prevent aspiration pneumonitis in an obstetric patient.
- d. Respondent failed to consider the use of pre-medication to prevent aspiration pneumonitis.

SECOND CAUSE FOR DISCIPLINE

(Incompetence)

Respondent is subject to discipline under Code section 2761(a)(1), on the 11. grounds of unprofessional conduct in that on or about July 17, 2000, while providing anesthesia services to a 40-year-old patient at Central Valley General Hospital, Respondent was incompetent, as more particularly set forth above in paragraph 10.

THIRD CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

Respondent is subject to discipline under Code section 2761(a) on the 12. grounds of unprofessional conduct in that on or about July 17, 2000, while a registered nurse at Central Valley General Hospital, respondent was guilty of unprofessional conduct, as more particularly set forth above in paragraph 10.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Registered Nursing issue a decision:

- Revoking or suspending Registered Nurse License Number 566763, issued to Barbara Frances Ducey;
- Revoking or suspending Nurse Anesthetist Certification 2. Number 2631, issued to Barbara Frances Ducey;
- Ordering Barbara Frances Ducey to pay the Board of Registered Nursing the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3; and,
 - · Taking such other and further action as deemed necessary and proper.

DATED:	9.1131	<u> </u>

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Executive Officer

Board of Registered Nursing Department of Consumer Affairs

State of California

Complainant

SA2006103043; Accusation (kdg)1/08